

Banks Issuing Irrevocable Letter of Credit

The following list of banks are issuing the necessary Letter of Credit to meet the New York Regulatory Requirements.

TD Bank

José A. Gomez | Relationship Manager / Lending Manager II

TD Bank, America's Most Convenient Bank

One Vanderbilt Ave 21st Floor, New York, NY 10017

M: 347-684-8911

Jose.Gomez@Td.com

UBS

Steven W. Padovani

steven.padovani@ubs.com

Client Service Associate

The Bennett Hurwitz Group

1285 Avenue of the Americas

15th Floor

New York, NY 10019

Tel (212) 713-3628

Cell (212) 256-1842

Fax (855) 608-3591

www.ubs.com/team/bhg

Frost Bank

Jennifer Thomas

Administrative Officer-Corporate Banking

Jennifer.Thomas@frostbank.com

512-4473-4778

Chase Bank

Citizen's Bank

**Find additional resources regarding the
New York regulatory changes on our website.**

<https://enerex.com/new-york-broker-registration-resources/>